

Learning Outcomes: Students will be able to:

- C-1 Explain the role of a Global Compliance Professional.
- C-2 Describe the difference between best practices and regulatory requirements.
- C-3 Illustrate 'best practices' in business compliance and discuss their optimal application.
- C-4 Summarize an international regulation with ethical implications; identify the regulatory requirements, best practices and their purposes; recommend an approach.

GOAL D: Learn to effectively assess compliance risks and identify the tools needed to proactively solve problems and mitigate consequences when resolving conflicts between jurisdictional requirements, when a potential failure is identified, or when threatened with regulatory sanctions.

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Discussion Board/Voice Journals	20% (6 total)
Assignments	25% (6 Assignments)
Narrated PowerPoint	15%
Final Examination	<u>25%</u>
TOTAL	100%

V. ASSIGNMENTS, PROJECTS & EXAMS:

Any student found guilty of academic dishonesty will be treated in accordance with the policies and procedures outlined in the Policies and Regulations section of the Wilmington University Student Handbook.

VI.

Class 7: Institutional Structures of Regulation; Global Financial Crisis; Compliance Opportunities; Interview Skills; Networking

Please see Canvas for a more detailed description of the weekly activities.